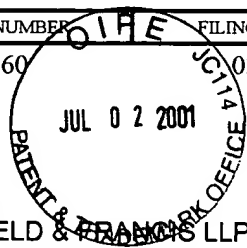




UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/817,360	03/20/2001	Michael S. German	UCSF-129CIP



Carol L. Francis
BOZICEVIC, FIELD & FRANCIS LLP
200 Middlefield Road, Suite 200
Menlo Park, CA 94025

CONFIRMATION NO. 2345

FORMALITIES LETTER



OC00000006107606

Date Mailed: 05/23/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$143.
 - \$63 for 7 total claims over 20.
 - \$80 for 2 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 563.**

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/06/2001 AZERCAV1 00000114 500815 09/817360
01 FC:201 355.00 CH
02 FC:203 63.00 CH
03 FC:202 80.00 CH
04 FC:205 65.00 CH

CERTIFICATE OF MAILING

Sector #

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Name (Printer/Type)	Wilhelm A. Palmen Jr.	Signature	<i>Wilhelm A. Palmen Jr.</i>	Date	06-26-2001
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<p>Combined Transmittal and Fee Calculation Sheet</p> <p><input checked="" type="checkbox"/> Small Entity <input type="checkbox"/> Large Entity</p>	Application Number	09/817,360
	Confirmation Number	2345
	Filing Date	March 20, 2001
	First Named Inventor	German
	Examiner	Unassigned
	Group Art	1635
	Attorney Docket No.	UCSF129CIP

ENCLOSED:	Claims	No. of claims as filed or after amendment	Most claims previously paid for	# Extra Claims	Rate	Totals
<input type="checkbox"/> Amendment Under Rule	Total	27	20	7	9	\$ 63.00
<input type="checkbox"/> 37 CFR §	Independent	5	3	2	40	\$ 80.00
<input type="checkbox"/> Pages	Multiple					
Total Extra Claim Fees						\$ 143.00

☐ Extension of time from _____ to _____ Fee _____

☒ Response to File Missing Parts (with copy of formalities letter)

<input checked="" type="checkbox"/> Filing Fee	Fee	\$355.00
<input checked="" type="checkbox"/> Executed Declaration Pages <u>2</u>	Surcharge Fee	\$65.00
<input type="checkbox"/> Other _____	Fee	_____
_____	Fee	_____
_____	Fee	_____
_____	Fee	_____
		Subtotal

☒ Information Disclosure Statement

<input checked="" type="checkbox"/> PTO Form 1449	Pages	<u>2</u>
<input checked="" type="checkbox"/> <u>22</u> Copies of Cited References		
<input type="checkbox"/> Other _____		
		Fee
		Subtotal

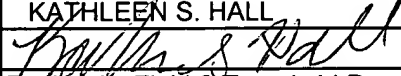
☐ Response to Notice to Comply (with copy of Notice to Comply)

<input type="checkbox"/> Sequence Listing Certification		
<input type="checkbox"/> Paper Copy of Sequence Listing	Pages	_____
<input type="checkbox"/> Diskette in computer-readable format		
<input type="checkbox"/> Other _____		
		Fee

<input type="checkbox"/> Terminal Disclaimer		Fee
<input type="checkbox"/> Appeal to Board of Appeals and Appeal Communication to Group		
<input type="checkbox"/> Notice of Appeal	Pages _____	Fee _____
<input type="checkbox"/> Appeal Brief in Triplicate	Pages _____	Fee _____
<input type="checkbox"/> Reply Brief	Pages _____	Fee _____
Subtotal		\$ -
<input type="checkbox"/> Issue Fee PTO 85B		Fee
<input type="checkbox"/> Advance copies _____		Fee
<input type="checkbox"/> Submission of Formal Drawings Transmittal with _____ sheets enclosed		Subtotal \$ -
<input type="checkbox"/> Other Enclosures and/or Fees _____		Fee
<input type="checkbox"/> Change of Correspondence Address		
<input checked="" type="checkbox"/> Return Receipt Postcard		TOTAL FEES \$563.00

The Commissioner is authorized to charge any fees which may be required, or credit any overpayment to Deposit Account 50-0815. If additional fees are required, including extensions of time, please consider this a petition therefore. A duplicate copy of this transmittal is enclosed.

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED

Name (Print/Type)	KATHLEEN S. HALL		Registration No.	44,143	
Signature			Date	06-26-2001	
Firm Name	Bozicevic, Field & Francis LLP	Address	200 Middlefield Road, Suite 200		
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